

IFW

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Bonnie Acosta
Bonnie Acosta

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:
Gerald McMAHON et al.

Serial No.: 10/713,201

Filed: November 17, 2003

Confirmation No.: 9575

For: HETEROARYLCARBOXAMIDE
COMPOUNDS ACTIVE AGAINST
PROTEIN TYROSINE KINASE RELATED
DISORDERS

Group Art Unit: 1624

Examiner: Richard L. Raymond

Mail Stop: OIPE- Patents
Honorable Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Transmitted herewith are the following documents:

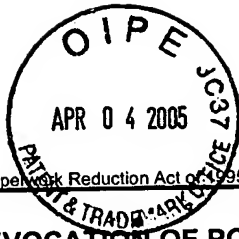
1. Revocation of Power of Attorney with New Power of Attorney and Change of Correspondence Address (one page)
2. Statement Under 37 CFR §3.73(b) (one page)
3. Copy of Recorded Assignment (9 pages)
4. Postcard

Respectfully submitted,

Date: March 3, 2005


Vincent P. Lipjak
Agent For Applicants
Registration No. 53,225

Agouron Pharmaceuticals, Inc.
A Pfizer Company
Legal Division, Patent Department
10777 Science Center Drive
San Diego, California 92121
Phone: 858/622-7908
Fax: 858/678-8233



PTO/SB/82 (09-04)

Approved for use through 11/30/2005. OMB 0651-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**REVOCATION OF POWER OF
ATTORNEY WITH
NEW POWER OF ATTORNEY
AND
CHANGE OF CORRESPONDENCE ADDRESS**

Application Number	10/713,201
Filing Date	November 17, 2003
First Named Inventor	Gerald McMahon et al.
Art Unit	1624
Examiner Name	Richard L. Raymond
Attorney Docket Number	PC23456C(formerly 34536-684)

I hereby revoke all previous powers of attorney given in the above-identified application.

☐ A Power of Attorney is submitted herewith.

OR

☒ I hereby appoint the practitioners associated with the Customer Number:

28940

☒ Please change the correspondence address for the above-identified application to:

☒ The address associated with
Customer Number:

28940

OR

☐ Firm or
Individual Name

Address

City

State

Zip

Country

Telephone

Fax

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

SIGNATURE of Applicant or Assignee of Record

Signature

Name

Vincent P. Liptak

Date

03/20/2005

Telephone

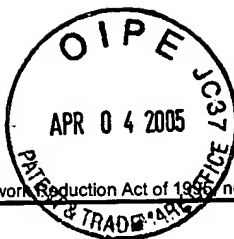
858/622-7908

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ *Total of _____ forms are submitted.

This collection of information is required by 37 CFR 1.36. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Sugen, Inc.Application No./Patent No.: 10/713,201 Filed/Issue Date: November 17, 2003Entitled: Heteroarylcarboxamide Compounds Active Against Protein Tyrosine Kinase Related Disorders

Sugen, Inc., a Corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 9626, Frame 0964, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.
[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Bryan C. Zielinski
Signature

3-30-05
Date

Bryan C. Zielinski
Printed or Typed Name

858/622-8864
Telephone Number

Assistant Secretary, Sugent, Inc.
Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

MARCH 23, 1999

PTAS

LYON & LYON LLP
BERNARD F. ROSE, ESQ.
633 W. FIFTH STREET, STE. 4700
LOS ANGELES, CALIFORNIA 90071-2066



100941452A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 08/07/1998

REEL/FRAME: 9626/0964
NUMBER OF PAGES: 7

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
MCMAHON, GERALD

DOC DATE: 07/28/1998

ASSIGNOR:
TANG, PENG CHO

DOC DATE: 07/28/1998

ASSIGNOR:
SHAWVER, LAURA KAY

DOC DATE: 07/28/1998

ASSIGNOR:
HIRTH, KLAUS PETER

DOC DATE: 07/28/1998

ASSIGNEE:
SUGEN, INC.
351 GALVESTON DRIVE
REDWOOD CITY, CALIFORNIA 94063-4720

SERIAL NUMBER: 09081917
PATENT NUMBER:

FILING DATE: 05/19/1998
ISSUE DATE:

RECEIVED
APR 01 1999
U.S. PROSECUTION

TARA WASHINGTON, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS



12-02-1998

NO. 054 P. 2/2

FORM PTO-1595
1-11-92U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Docket No. 233/090

100941452

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Gerald McMahon, Peng Cho Tang, Laura Kay
Shawver, Klaus Peter HirthAdditional name(s) of conveying party(ies) attached? Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other:

Execution Date July 23, 1998

2. Name and address of receiving party:

Name: Sugen, Inc.

Internal Address: 351 Galveston Drive, Redwood City, CA 94063-4720

Street Address: 351 Galveston Drive, Redwood City, CA 94063-4720

Additional name(s) & address(es) attached? Yes ☒ No

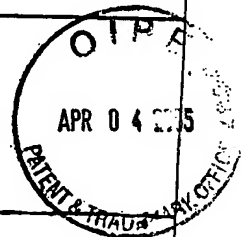
4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.

09/081,917

B. Patent No.

Additional numbers attached? Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Bernard F. Rose, Esq. Lyon & Lyon LLP

Internal Address: 633 W. Fifth Street, Ste 4700

City: Los Angeles State: California ZIP 90071-2066

Street Address: 633 W. Fifth Street, Ste 4700

City: Los Angeles State: California ZIP 90071-2066

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit Account Number: 12-2475

Please charge this Deposit Account if any additional fee is required.

(Attach duplicate copy of this page if paying by deposit account.)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.
Bernard F. Rose, Reg. No.: P-42,112

Date: August 4, 1998

Total number of pages comprising cover sheet: 3

OMB No. 0651-0011 (exp. 4/94)

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Washington, D.C. 20231

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ASSIGNMENT

WHEREAS, WE, **GERALD MCMAHON**, a citizen of United States of America, residing at 1800 Schultz Road, Kenwood, California 95452, **PENG CHO TANG**, a citizen of the United States of America, residing at 827 Camino Ricardo, Moraga, California 94556; **LAURA KAY SHAWVER**, a citizen of the United States of America, residing at 216 Cotter Street, San Francisco, California 94112, and **KLAUS PETER HIRTH**, a citizen of Germany, residing at 334 Collingwood Street, San Francisco, California 94114, have invented a new and useful invention, titled "**HETEROARYLCARBOXAMIDE COMPOUNDS ACTIVE AGAINST PROTEIN TYROSINE KINASE RELATED DISORDERS**" for which we have filed application papers for United States Letters Patent thereon under attorney docket number 235/090; and

WHEREAS **SUGEN, INC.**, a Delaware corporation, having a place of business at 351 Galveston Drive, Redwood City, California 94063, is desirous of acquiring the exclusive right, title and interest in and to said invention and in to the Letters Patent to be granted and issued therefor in the United States of America and its territories and possessions, and all countries foreign thereto;

NOW THEREFORE for a valuable consideration, the receipt of which is hereby acknowledged, we, **GERALD McMAHON, PENG C. TANG, LAURA KAY SHAWVER and KLAUS PETER HIRTH**, do hereby sell, assign, transfer and set over unto the said **SUGEN, INC.** its successors and assigns, the full and exclusive right, title and interest in and to said invention, and in and to any and all Letters Patents to be granted and issued therefore, not only form to and in the United States of America, its territories and possessions, but also for, to and in all other countries including all priority rights under the International Convention for the Protection of Industrial property for every member country, and all applications for patents heretofore or hereafter filed for said invention in any foreign countries and all patents (including all extensions, renewals and reissues thereof) granted for said invention in any foreign countries; and we hereby authorize and request the Commissioner of Patents and Trademarks, and any officials of foreign countries whose duty it is to issue patents on applications as aforesaid, to issue all patents for said invention to **SUGEN, INC.**, its successors and assigns, in accordance with this Assignment.

IN TESTIMONY WHEREOF, I hereunto set my hand this 28th day of July,

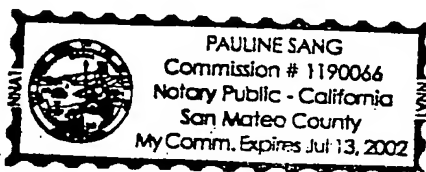
1998


GERALD McMAHON

STATE OF CALIFORNIA)
) ss.
COUNTY OF SAN MATEO)

On this 28th day of July, 1998, before me, a Notary Public, State of California,
duly commissioned and sworn, personally appeared **GERALD McMAHON** known to me to be
the person whose name is subscribed to in the within instrument and acknowledged to me that he
executed the same.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my official
seal in Redwood City, California the day and year first above written.



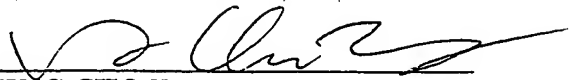

NOTARY PUBLIC



235/090
PATENT

IN TESTIMONY WHEREOF, I hereunto set my hand this 27 day of July,

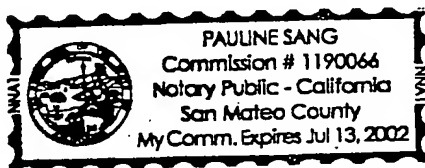
1998


PENG CHO TANG

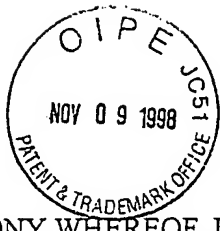
STATE OF CALIFORNIA)
) ss.
COUNTY OF SAN MATEO)

On this 21 day of July, 1998, before me, a Notary Public, State of California,
duly commissioned and sworn, personally appeared **PENG CHO TANG** known to me to be the
person whose name is subscribed to in the within instrument and acknowledged to me that he
executed the same.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my official
seal in Redwood City, California the day and year first above written.





NOTARY PUBLIC



235/090
PATENT

IN TESTIMONY WHEREOF, I hereunto set my hand this 27 day of July,

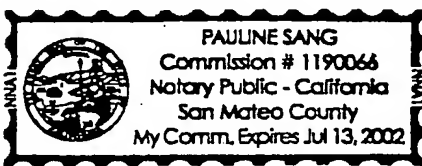
1998


LAURA KAY SHAWVER

STATE OF CALIFORNIA)
) ss.
COUNTY OF SAN MATEO)

On this 27 day of July, 1998, before me, a Notary Public, State of California,
duly commissioned and sworn, personally appeared LAURA KAY SHAWVER known to me to
be the person whose name is subscribed to in the within instrument and acknowledged to me that
he executed the same.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my official
seal in Redwood City, California the day and year first above written.




NOTARY PUBLIC



235/090
PATENT

IN TESTIMONY WHEREOF, I hereunto set my hand this 29th day of July,

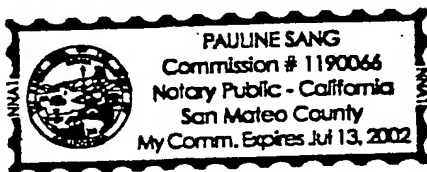
1998

KLAUS PETER HIRTH

STATE OF CALIFORNIA)
) ss.
COUNTY OF SAN MATEO)

On this 29th day of July, 1998, before me, a Notary Public, State of California, duly commissioned and sworn, personally appeared **KLAUS PETER HIRTH** known to me to be the person whose name is subscribed to in the within instrument and acknowledged to me that he executed the same.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my official seal in Redwood City, California the day and year first above written.



Pauline Sang
NOTARY PUBLIC